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•Practice Limited to
Federal Agencies

April 16, 2007

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PO Box 1450
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Group Art Unit 3694
Mail Stop: Amendment

Re: U.S. Utility Patent Application
Appl. No.: 10/615,796; Filed: July 10, 2003
For: **Methods and Apparatus Relating to the Formulation and Trading of Investment Contracts**
Inventor: Ian Kenneth SHEPHERD
Our Ref: 2151.0050002

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Petition for Extension of Time Under 37 C.F.R. § 1.136(a);
2. Reply to Notice of Non-Compliant Amendment;
3. Substitute Specification (Clean Version);
4. Substitute Specification (Marked Up Version);
5. One (1) return postcard; and
6. Credit Card Payment Form (PTO-2038) in the amount of \$450.00 to cover the two (2) month extension of time fee.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
April 16, 2007
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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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RES:ddc
Enclosures

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